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# 1 Mutations in *TOP3A* cause a Bloom's syndrome-like disorder

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**Abstract ( 250words)**

Bloom's syndrome, caused by biallelic mutations in *BLM*, is characterised by prenatal onset growth deficiency, short stature, an erythematous photosensitive malar rash and increased cancer predisposition. Diagnostically, a hallmark feature is the presence of increased sister chromatid exchanges (SCEs) on cytogenetic testing. Here, we describe biallelic mutations in *TOP3A* in ten individuals with prenatal-onset growth restriction and microcephaly. *TOP3A* encodes topoisomerase III alpha (TopIII $\alpha$ ), which binds to BLM as part of the BTRR protein complex, and promotes dissolution of double Holliday junctions arising during homologous recombination. We also identify a homozygous truncating variant in *RM11*, that encodes another component of the BTRR complex, in two individuals with microcephalic dwarfism. The *TOP3A* mutations substantially reduce cellular levels of TopIII $\alpha$  protein and consequently subjects' cells demonstrate elevated rates of SCE. Unresolved DNA recombination and/or replication intermediates persist into mitosis leading to chromosome segregation defects and genome instability that likely explain the growth restriction seen in these subjects and in Bloom's syndrome. Clinical features of mitochondrial dysfunction are evident in several individuals with biallelic *TOP3A* mutations, consistent with the recently reported additional function of TopIII $\alpha$  in mitochondrial DNA decatenation. In summary, our findings establish *TOP3A* mutations as an additional cause of prenatal-onset short stature with increased cytogenetic SCEs, and implicate the decatenation activity of the BTRR complex in their pathogenesis.

110

## 111 **Introduction**

112 Microcephalic primordial dwarfism (MPD) is used to collectively describe a heterogeneous  
113 group of disorders characterized by significant *in utero* and postnatal growth retardation  
114 alongside marked microcephaly<sup>1</sup>. Bloom's syndrome (MIM 210900) is also associated with  
115 prenatal growth restriction, short stature and microcephaly. It is distinguished by an  
116 erythematous sun-sensitive facial rash that may become evident during childhood<sup>2</sup>. A  
117 predisposition to the development of cancer in early adulthood is also seen, with both solid  
118 tumours and haematological malignancies a major cause of early death<sup>3</sup>. Additionally, a key  
119 cytogenetic feature of Bloom's syndrome is an increased number of sister chromatid  
120 exchanges (SCEs)<sup>4</sup>. Notably, when molecular testing is inconclusive, a finding of elevated  
121 SCEs is currently utilised for diagnostic confirmation of Bloom's syndrome.<sup>5</sup>

122 In 1995, Bloom's syndrome was shown to be caused by mutations in *BLM*, which  
123 encodes a RecQ family DNA helicase<sup>6</sup>. Mutations in *BLM* are typically biallelic loss-of-  
124 function mutations<sup>7</sup>. BLM forms the BTRR protein complex with Topoisomerase III alpha  
125 (TopIII $\alpha$ ), and the RecQ-mediated genome instability proteins 1 and 2 (RMI1 and RMI2).  
126 Together, these proteins process double Holliday junctions (dHJ) that arise due to  
127 homologous recombination-mediated repair of dsDNA breaks during DNA synthesis<sup>8-14</sup>. The  
128 process of dHJ dissolution requires two steps. Firstly, the BTRR complex promotes the  
129 convergent branch migration of the dHJ to create a hemicatenane intermediate, and then  
130 this structure is decatenated by TopIII $\alpha$  in concert with RMI1/2<sup>15; 16</sup>. Dissolution of a dHJ by  
131 this mechanism can be completed without any potentially detrimental exchanges between  
132 genetic markers flanking the original site of homologous recombination. The alternative

processing of dHJ by the HJ resolvases (SLX-MUS81 and GEN1 nucleases) can yield cross over events, and it is increased usage of this pathway that has been proposed to explain the increase in SCEs in BLM-deficient cells<sup>17</sup>. Cross-over events between homologous chromosomes can lead to loss of heterozygosity (LOH)<sup>18</sup>, which can be detrimental to cell survival and contribute to increased cancer predisposition<sup>19</sup>. Additionally, unresolved recombination intermediates can persist into mitosis leading to chromosome bridges and act as a source of genome instability<sup>20</sup>.

Here, we report the identification of pathogenic mutations in *TOP3A* in ten individuals with Bloom's syndrome-like phenotypic features, and characterise the cellular consequences of these mutations.

## **Material and Methods**

### **Research subjects**

Genomic DNA from the affected individuals and family members was extracted from peripheral blood by standard methods or obtained from saliva samples using Oragene collection kits according to the manufacturer's instructions. Informed consent was obtained from all participating families and all procedures performed in studies involving human participants were in accordance with the Declaration of Helsinki. Research studies were approved by the Scottish Multicentre Research Ethics Committee (05/MRE00/74); the Hospital Universitario La Paz ethical committee (PI-2630); the GOSH Research Ethics Committee (09/H0706/66); the University Medical Center Göttingen Ethics Committee (Vote Ref 3/2/1) and the Institute for Genomic Medicine at Columbia University (protocol AAAO8410).

Parents provided written consent for the publication of photographs of the affected individuals. For growth measurements, Z-scores (standard deviations from population mean for age and sex) were calculated using LMS growth based on British 1990 growth reference data<sup>21</sup>. For calculation of Z-scores for growth measurements published for Bloom's syndrome<sup>22</sup>, full-term gestation was assumed, and post-natal growth measurements calculated from data provided for the 18-21 year age range.

#### **Exome sequencing and Sanger sequencing**

Exome sequencing and confirmatory capillary Sanger sequencing were performed using standard methodologies as previously published<sup>23; 24</sup>. TOP3A and RMI1 variants were annotated using NM\_004618.4 and NM\_024945.2 reference sequences respectively.

#### **Plasmid Construct and protein purification**

*Cloning of the mutant hTopIII $\alpha$  expression vector:* A plasmid encoding the wild type TOP3A cDNA<sup>25</sup> was modified by Quickchange XL Site directed mutagenesis kit (Agilent technologies) with the following primers to recapitulate the deletion and frame-shift present in subject P1: T3\_FS\_FW: 5'- CCCTCCGTCACACGACTGTGCAGAAGGA -3' and T3\_FS\_RW: 5'- TCCTTCTGCACAGTCGTGTGACGGAGGG -3'.

*Expression and purification of TRR:* The previously described plasmids encoding RMI1 and RMI2<sup>14</sup> and hTopIII $\alpha$ <sup>25</sup> were co-transformed into *E. coli* Rosetta 2 cells, and the complex was expressed. The cells were disrupted in buffer A (50 mM Tris-HCl, pH 7.5, 0.5 M NaCl, 10% glycerol, 0.1 % Igepal, 2 mM  $\beta$ -mercaptoethanol, 40 mM Imidazole, 1 mM PMSF, protease inhibitor tablet (PI, EDTA-free, Roche)) on ice, before dounce homogenization and sonication. After removal of cell debris by centrifugation, the lysate was affinity-purified on a 5 ml HisTrap

HP affinity column. The complex was further purified on a 5 ml HiTrap Heparin HP column in buffer B (50 mM Tris-HCl, pH 7.5, 10% glycerol, 0.1 mM EDTA, 1 mM DTT) with a linear gradient of 200 mM - 1 M NaCl, followed by gel filtration on a 120 ml HiLoad 16/600 Superdex 200 column in buffer B containing 200 mM NaCl. Expression and purification of the mutant T<sup>Thr812LeufsTer101</sup>RR complex was performed in a similar manner. BLM was purified as described previously<sup>26 27</sup>.

*Double-Holliday Junction dissolution:* The dHJ substrate construction and dissolution reactions were carried out as described previously<sup>15</sup>.

## **Cell culture**

Dermal fibroblasts were obtained by skin punch biopsy and were maintained in amnioMAX C-100 complete medium (Life Technologies) in a 37°C incubator with 5% CO<sub>2</sub> and 3% O<sub>2</sub>. siRNA oligonucleotides (siLUC: CUUACGCUGAGUACUUCGA, siTopIIIα SMARTpool M-005279-01-0005(Dharmacon)) were transfected into dermal fibroblasts cells using RNAiMAX (Life Technologies) according to the manufacturer's instructions.

## **Sister Chromatid Exchange Assay**

Dermal fibroblasts were treated with 10 μM BrdU for 48 hrs followed by 0.5 μg/ml Colcemid for 2 hrs. Metaphases and nuclei were isolated in hypotonic buffer (0.25% KCl, 1% Na<sub>3</sub>C<sub>6</sub>H<sub>5</sub>O<sub>7</sub>), fixed with methanol:acetic acid (3:1), and dropped onto slides. Dried slides



204 were rehydrated in PBS and then incubated with 2 µg/ml Hoescht 33342 stain in 2x SSC  
205 buffer (300mM NaCl, 30mM sodium citrate) for 15 mins. Slides were then covered in 2x SSC  
206 buffer and irradiated in UV-A at 5400 J/m<sup>2</sup>. Dehydrated in an ethanol series and mounted in  
207 vectashield with DAPI.

208 *SCE Methodology for analysis P7,P8* : Dermal fibroblasts were treated with 26.05 µM of  
209 BrdU for 72 hrs followed by 0.5 µg/mL Colcemid for 5 hrs and then harvested. Cells  
210 resuspended in a pre-warmed hypotonic solution (0.051 M KCl) for 20 min at 37 °C, then  
211 fixed with acetic acid: methanol (1:3 vol/vol) and dropped onto slides. Samples were aged  
212 for at least 3 days, then stained with 1.56 µg/ml Hoechst 33258 (Sigma, B2883). Slides  
213 irradiated in 2xSSC with UVC (356 nm) 0.260 J/cm<sup>2</sup>, washed with 2xSSC, and stained with  
214 10% Giemsa in phosphate buffer.

215 *SCE analysis of peripheral blood samples* was performed using standard methodology in a  
216 diagnostic lab setting<sup>28</sup>.

#### 217 **Immunofluorescence and microscopy**

218 Mitotic abnormalities and G1-associated defects were analyzed as described previously<sup>29; 30</sup>.  
219 Briefly, for mitotic analysis, cells were seeded onto 22 x 22 mm glass coverslips in 6 well  
220 plates. After 18 hrs, cells were treated with 3.5 µM RO3306 for 6 hrs to induce a late G2  
221 arrest, and were subsequently released into fresh media. After 45 mins, cells were fixed  
222 with 4% paraformaldehyde containing 0.2% Triton X-100 in PBS for 20 mins. For G1-  
223 associated defects, RO3306 treated cells were released into fresh media for 30 mins.  
224 Prometaphase cells were shaken off and re-seeded onto poly-L-lysine coated glass slides.  
225 After 4-6 hrs, cells were fixed with 4% paraformaldehyde for 10 mins and permeabilized  
226 with 0.5% Triton X-100 for 10 mins. Fixed cells were incubated with antibodies specific for

Cyclin A (Santa Cruz Biotechnology, sc-596), 53BP1 (Santa Cruz Biotechnology, sc-515841) and PICH<sup>31</sup>.

## **Western blotting**

Cells were lysed in 50 mM Tris-HCl (pH 8), 280 mM NaCl, 0.5% NP40, 0.2 mM EDTA, 0.2 mM EGTA, and 10 % glycerol supplemented with protease inhibitor tablet (Roche Life Science). Protein samples were run on a 4–12 % NuPAGE Bis-Tris precast gel (Life Technologies) followed by immunoblotting using anti-TopIII $\alpha$  raised against amino acids 652-1001 (Proteintech, 14525-1-AP) and actin (Sigma, A2066).

## **Results**

### **Identification of mutations in *TOP3A***

In ongoing work to identify novel microcephalic dwarfism genes, whole exome sequencing (WES) was performed on subject P1, who had significant microcephaly and short stature (-4.7 and -4.4 standard deviations (s.d.) respectively). This identified a homozygous frameshift mutation NM\_004618.4: c.2718del (p.Thr907LeufsTer101) in *TOP3A* located on chromosome 17p11.2. Following interrogation of other cohorts and through clinical contacts made through GeneMatcher<sup>32</sup>, we subsequently ascertained a further nine individuals from seven families with biallelic deleterious *TOP3A* variants that had also been discovered by WES (Table 1). All variants identified were validated by Sanger capillary sequencing, and all parents were confirmed to be heterozygous carriers. Aside from the c.2718del variant (MAF 0.00041%), none of the other variants were reported in GnomAD<sup>33</sup>.

Notably, four of the families from UAE, Syria and Saudi Arabia (F2, F4, F6 and F7), were homozygous for the same variant.

### **Prenatal-onset growth restriction and microcephaly in *TOP3A* Individuals**

Prenatal growth restriction was evident, with substantially reduced birth weight in all individuals (mean weight  $-2.6 \pm 0.9$  standard deviations, s.d.) (Table 2, Figure 1A).

Postnatally, both weight (mean weight  $-4.8 \pm 1.8$  s.d.), height (mean height  $-3.9 \pm 1.0$  s.d.) and head circumference (mean OFC  $-4.0 \pm 1.2$  s.d.) were significantly reduced. Growth parameters were similar to those previously reported for Bloom's syndrome (mean birth weight  $-3.7 \pm 1.2$  s.d.; mean postnatal weight  $-3.8 \pm 2.1$  s.d.; mean height  $-3.9 \pm 1.1$  s.d.)<sup>22</sup>.

While multiple café-au-lait patches were present in some *TOP3A* individuals, the classical erythematous malar facial rash was not apparent and nor were there any reports of malignancies (although none had yet reached adulthood, Table 3, Figure 1B).

### **Cardiomyopathy is present in some *TOP3A* subjects**

A single individual compound heterozygous for rare *TOP3A* variants has been reported recently with an adult-onset mitochondrial disorder (denoted as MC1 in Table 1)<sup>34</sup>. While stature was normal in this individual (adult female, 163 cm), several of the subjects reported here had clinical features that could also be attributable to mitochondrial dysfunction. P3, P4 (family 2) had an additional diagnosis of dilated cardiomyopathy, which proved fatal in P4 at age 10. Another brother in this sibship died aged 13 of cardiomyopathy. Morphometric and other clinical information are not available for this case. In P5 (family 3), a dilated cardiomyopathy was also reported, with skeletal muscle biopsy demonstrating 87% mitochondrial DNA depletion. P8 also had asymptomatic left ventricular dilatation noted on echocardiography, while P9 had hypertrophic cardiomyopathy.

Given the identification of multiple individuals with biallelic deleterious variants in *TOP3A*, alongside a consistent clinical phenotype across the cohort, we concluded that these mutations were likely to be pathogenic. We therefore pursued confirmatory functional studies, employing both biochemical and cell biological approaches.

#### ***TOP3A* mutations lead to marked reduction in cellular levels of the TopIII $\alpha$ enzyme**

Most of the identified *TOP3A* mutations are predicted to prematurely truncate the encoded protein (Figure 2A), and hence are likely to have significant effects on cellular protein levels. We therefore assessed the levels of TopIII $\alpha$  in primary dermal fibroblast cell lines derived from P1, P7 and P8. Immunoblotting demonstrated that the level of full-length TopIII $\alpha$  protein was substantially reduced in total cell lysates from all three individuals compared to cell lines from unrelated control and parents (Figure 2B).

In most subjects, the frameshift mutations would be expected to result in nonsense-mediated decay of *TOP3A* transcripts, explaining consequent loss of TopIII $\alpha$  protein.

However, the homozygous frameshift mutation in P1 (p.Thr907LeufsTer101) is at the 3' end of the gene, was not predicted to result in NMD and instead would result in a protein of similar length to the wild-type enzyme (TopIII $\alpha$ <sup>Thr907LeufsTer101</sup>). The frameshift did, however, result in abolition of the C terminal zinc finger domain whose precise cellular function remains to be defined. We therefore expressed recombinant TopIII $\alpha$ <sup>Thr907LeufsTer101</sup> protein in *E.coli*, and purified it to homogeneity as a complex with the co-expressed RMI1 and RMI2 proteins in order to characterise it further. Notably, in contrast to the TRR complex containing TopIII $\alpha$ <sup>WT</sup> protein, the complex containing TopIII $\alpha$ <sup>Thr907LeufsTer101</sup> protein (hereafter referred to as TopIII $\alpha$ <sup>P1</sup>) exhibited reduced stability during purification, with lower yields and increased levels of degradation products evident on SDS-PAGE (Figure 2C). Nevertheless,

when used in equimolar quantities to TopIII $\alpha$ <sup>WT</sup>, TopIII $\alpha$ <sup>P1</sup> was proficient in a biochemical assay for double Holliday junction (dHJ) dissolution when combined with other components of the BTRR complex. This indicates that this TopIII $\alpha$  variant retains a near normal level of ssDNA decatenation activity (Figure 2D-F). Therefore, we concluded that the major consequence of the TopIII $\alpha$ <sup>Thr907LeufsTer101</sup> mutation, like the other truncating mutations, is severe depletion of TopIII $\alpha$  enzymatic activity in cells.

P5 was the only individual we identified with an amino acid substitution, p.Ala176Val, which was present *in trans* with a frameshift mutation. The amino acid substitution is absent from GnomAD and *in silico* analyses predict the variant to be deleterious (Mutation Taster, CADD, SIFT). It is at a highly conserved residue (Figure S1) within the TOPA domain (Figure 2A), and would, therefore, be expected to be highly deleterious to enzymatic function.

Given that *Top3a* is a developmentally essential gene in mouse<sup>35</sup>, coupled with the above results, we concluded that all the identified mutations result in marked, but most likely hypomorphic, loss of function of TopIII $\alpha$  due to a reduction in the cellular level of the protein. Therefore, these mutations would be predicted to severely compromise the decatenation activity of the BTRR complex in dHJ dissolution *in vivo*. To assess this possibility, we next pursued cellular assays assessing SCE frequency.

### **SCEs are markedly elevated in TOP3A subjects**

dHJ can be processed by two pathways; firstly, dissolution by the BTRR complex yields non-crossover products only; secondly, resolution by endonucleases that cleave HJ structures generate both non-crossovers and crossover products that are visualized as SCEs (Figure 3A). To determine if dHJ dissolution is impaired in the cells of affected individuals, we

assessed the frequency of SCEs. Following BrdU incorporation, we performed differential sister chromatid staining on primary fibroblasts and PHA-stimulated peripheral blood leukocytes (Figure 3B, C). *TOP3A* subject cells had a substantially higher number of SCEs, demonstrating a 3-6 fold increase compared to cells from controls or heterozygous parents (Figure 3C, p-value,  $p < 0.005$  for all affected individual cell lines). Therefore, excessive crossover recombination was evident in all *TOP3A* subjects tested, indicating that diagnostic cytogenetic assessment of SCE levels is predictive of *TOP3A* mutations as well as *BLM* mutations.

### **Chromosome segregation defects and genome instability occur in *TOP3A* cells**

Impaired decatenation of dHJ may result in persistently entangled sister chromatids that impede chromosome segregation at mitosis<sup>20</sup>. Therefore, we performed detailed characterisation of the mitotic consequences of TopIII $\alpha$  deficiency in primary fibroblasts from P1. DAPI-staining demonstrated elevated levels of chromatin bridges (Control, C=0, Parent=0.3  $\pm$  0.4, P1=4  $\pm$  0.6) and lagging chromatin/chromosomes (Figure 4A,B) (C=0.9  $\pm$  0.2, Parent=4  $\pm$  0.7, P1=9.2  $\pm$  0.9), consistent with persisting chromosome entanglements. Additionally, increased numbers of ultrafine DNA bridges were seen, revealed by immunostaining for the PICH protein on DAPI-negative regions<sup>20</sup> (C=2.7  $\pm$  0.3, Parent=13.1  $\pm$  1.1, P1=26.7  $\pm$  3.8).

We then examined the post-mitotic consequences of the observed chromosome segregation errors, enriching for G1 cells (Fig. 4C, D). Micronuclei often arise from chromosome segregation errors<sup>36</sup>, and analysis of DAPI-stained cells demonstrated substantial numbers of micronucleated cells in the P1 fibroblast cell line compared to control and parental cell lines (Control=0.4  $\pm$  0.2, Parent=1.8  $\pm$  0.5, P1=7.5  $\pm$  1.4). Elevated

levels of 53BP1 nuclear bodies were also seen in cyclin A-negative (G1) cells, indicative of transmission of DNA damage from one cell cycle to the next<sup>37</sup> (Control=0.8 ± 0.3, Parent=4.5 ± 0.5, P1=11 ± 1.7). Taken together, we conclude that impaired dHJ decatenation in TopIIIα deficient cells results both in abnormal recombination and mitotic errors that lead to accumulation of DNA damage. As chromatin bridges and UFBs are also present in Bloom's syndrome individuals<sup>20</sup> these findings are concordant with a shared disease mechanism.

### **A homozygous truncating variant in *RM11* associated with microcephalic dwarfism**

Finally, through whole exome sequencing we also identified a homozygous truncating variant in *RM11* (c.1255\_1259del, p.Lys419Leufs\*5) in two affected cousins (P11, P12) from a consanguineous Turkish family (F8, Table 1, Fig. S3). Both individuals also had microcephalic dwarfism with a similar clinical phenotype and level of growth restriction as *TOP3A* subjects (Fig 1A, Table 2, 3).

## **Discussion**

Here, we report that biallelic mutations in *TOP3A* cause prenatal growth restriction, microcephaly and short stature, a clinical phenotype that overlaps with that seen in Bloom's syndrome. Furthermore, we identify a rare *RM11* truncating variant (allele frequency, 1.1x10<sup>-5</sup>) in two individuals. As no homozygous loss of function variants are reported in gnomAD, we expect this to be the cause of microcephalic dwarfism in P11 and P12. However, further case identification with other deleterious sequence variants will be necessary to establish *RM11* as a disease gene.

Notably, *TOP3A* individuals exhibit elevated SCEs on cytogenetic testing. While cell

lines were not available from P11 and P12, we also predict that increased SCE will occur in cells with the homozygous *RM11* p.Lys419Leufs\*5 variant, given the important role of this protein in the BTRR complex<sup>10; 11; 38</sup>. For many years, the diagnosis of Bloom's syndrome rested on a demonstration of elevated SCEs, and this has continued to be employed in cases where molecular testing is inconclusive<sup>5</sup>. Hence, analysis of other BTRR components is now warranted when elevated SCEs are detected. Indeed, given the clear phenotypic overlap between Bloom's syndrome and *TOP3A* individuals, re-evaluation of previous cytogenetically-diagnosed Bloom's syndrome cases could be fruitful.

*RM12* is the fourth component of the BTRR complex. A single family was recently reported with a homozygous deletion of *RM12* in which two children were also found to have elevated SCEs, with café-au lait macules evident, but mild-growth impairment evident in only one individual<sup>39</sup>. As *RM12* is not essential for BTR (*BLM*, *TopIIIα* and *RM11*) enzymatic function<sup>13</sup>, a more subtle cellular and developmental phenotype might therefore result. It also remains possible that the BTR complex has functions independent of *RM12*, given that *BLM*, *TopIIIα* and *RM11* are conserved in all eukaryotes but *RM12* is absent in invertebrates and yeast <sup>14</sup>.

Bloom's syndrome is associated with predisposition to both solid tumors and haematological malignancies<sup>3</sup>. However, cancers have not been reported in our cases with *TOP3A* mutations. Nevertheless, all are still children and as malignancy in Bloom's typically manifests in early adulthood, *TOP3A* mutations may well also confer increased risk of neoplasia.

Distinct from Bloom's syndrome, some of our *TOP3A* subjects have clinical features consistent with mitochondrial dysfunction, with several exhibiting a dilated



cardiomyopathy. Notably, an individual with chronic progressive external ophthalmoplegia and cerebellar ataxia has been recently reported, along with a novel functional role for TopIII $\alpha$  in the decatenation of mitochondrial DNA following its replication<sup>34</sup> (in this manuscript denoted as subject, MC1). The compound heterozygous mutations c.298A>G; c.403C>T; p. Met100Val; pArg135\* may have milder consequences than those reported in our cohort, and consistent with this we found that MC1 does not exhibit short stature (Table 2) or elevated numbers of SCEs in a primary fibroblast line derived from this individual (Figure S2). Thus, it appears that the residual TopIII $\alpha$  activity in MC1 is sufficient for the nuclear function of the BTRR complex in dHJ dissolution and for normal growth, but may have become rate-limiting for the role of TopIII $\alpha$  in mitochondrial DNA replication.

Mechanistically, our cellular and biochemical studies suggest that the identified *TOP3A* mutations reported here are severe hypomorphs, consistent with the established essential cellular and developmental functions of TopIII $\alpha$ <sup>35</sup>. Given that marked growth restriction is common to *TOP3A* and *BLM* subjects, it seems likely that they have a shared pathogenic basis arising from reduced ssDNA decatenation activity of the BTRR complex. Persistence of unresolved hemicatenanes into mitosis leads to formation of chromatin and ultra-fine mitotic bridges that have previously been reported in Bloom's syndrome<sup>20</sup> and in microcephalic dwarfism individuals with condensin mutations<sup>40</sup>. The resulting genome instability arising from persistent chromatin bridges<sup>41; 42</sup> and micronuclei<sup>43</sup> may therefore impair cell viability during development, causing microcephaly and global growth restriction. In summary, we demonstrate that mutations in *TOP3A* cause microcephalic dwarfism with increased SCE, implicating the BTRR complex as a cause of growth disorders.

## **Supplemental Data description**

Three supplementary figures and a table are provided.

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431 The content is solely the responsibility of the authors and does not necessarily represent the  
432 official views of the NIH.

#### 433 **Declaration of Interests**

434 MC and AB are employees of GeneDx, Inc., a wholly owned subsidiary of OPKO Health, Inc.  
435 The authors declare no other conflicts of interest.

#### 436 **Web Resources**

437 GnomAD. <http://gnomad.broadinstitute.org>

438 Online Mendelian Inheritance in Man. <http://www.omim.org>

439

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558

559 **Figure 1. Variants in *TOP3A* are associated with prenatal-onset growth retardation and**  
560 **microcephaly**

- 561 a) Morphometric data for *TOP3A* individuals. A global reduction in growth is evident from before birth. Z-  
562 scores (standard deviations from population mean for age and sex) for birth weight (Wgt), and postnatal  
563 weight, height (Hgt) and occipital-frontal circumference (OFC). Dashed lines indicate the 95% confidence  
564 interval for the general population. Black circles, *TOP3A* individual data points. For comparison grey bars  
565 indicate the mean value for a cohort of n=89 (birth weight), n=47 (current weight), n=52 (current height)  
566 Bloom's syndrome subjects<sup>22</sup>.
- 567 b) Photographs of facial features of individuals with *TOP3A* mutations.

568 **Figure 2. Mutations in *TOP3A* markedly reduce levels of the TopIII $\alpha$  protein but do not affect its**  
569 **intrinsic decatenation activity**

- 570 A) Schematic of TopIII $\alpha$  protein with locations of variants annotated. Red, TOPRIM domain; purple TOPA  
571 domain; blue, GRF zinc finger domain.
- 572 B) *TOP3A* mutations markedly reduce protein levels. Immunoblotting of total cell lysates from affected  
573 individual derived dermal fibroblast lines as indicated. siRNA depletion of *TOP3A* in control 2 fibroblast line  
574 to confirm specificity of antibody. C1 and C2 unrelated control fibroblast lines. (TopIII $\alpha$  antibody raised  
575 against amino acids 652-1001).
- 576 C) Recombinant TopIII $\alpha$ <sup>Thr812LeufsTer101</sup> protein (denoted TopIII $\alpha$ <sup>P1</sup>) is less stable than TopIII $\alpha$ <sup>WT</sup>. Immunoblot of  
577 purified TopIII $\alpha$ <sup>WT</sup> and TopIII $\alpha$ <sup>P1</sup> probed with TopIII $\alpha$  antibody. Protein size markers 250, 170, 140, 100, 70  
578 kDa
- 579 D) Schematic depicting the BTRR complex and its role in double Holliday junction (dHJ) dissolution.  
580 Homologous sister chromatids are shown in red and blue respectively.
- 581 E-F) TopIII $\alpha$ <sup>P1</sup> protein is proficient for promoting dHJ dissolution with BLM in combination with the RMI1 and  
582 RMI2 proteins. E) Representative polyacrylamide gel demonstrating the enzyme concentration  
583 dependence of the dHJ dissolution activity of TopIII $\alpha$ <sup>WT</sup> and mutant (TopIII $\alpha$ <sup>P1</sup>) TRR complexes with 20 nM  
584 BLM at 37°C in 30 min. TRR was included in reactions at increasing concentrations ranging from 20 pM-10

nM. Labelled circular oligonucleotide (lane 1) and dHJ (lane 2) were included as markers. F) Quantification of the dHJ dissolution reaction shown in panel E. The reaction was repeated two more times with very similar results.

**Figure 3. *TOP3A* individuals have elevated levels of SCEs reflecting chromatid hyper-recombination**

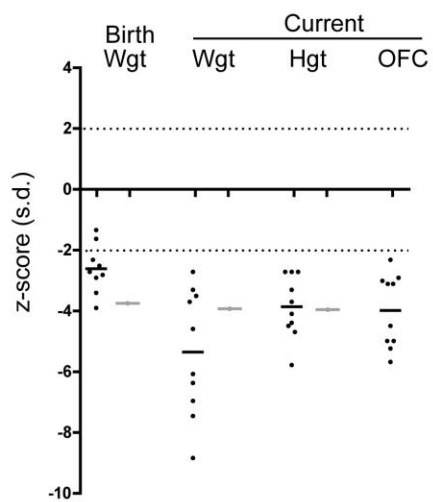
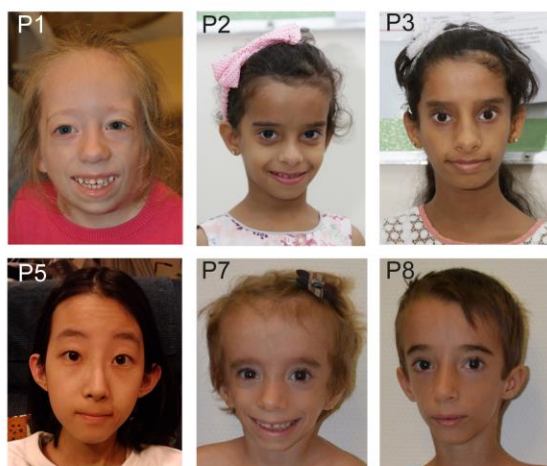
A) Schematic of dHJ processing . dHJ are either dissolved by the BTRR complex or alternatively cleaved by structure specific nucleases that lead to dHJ resolution.

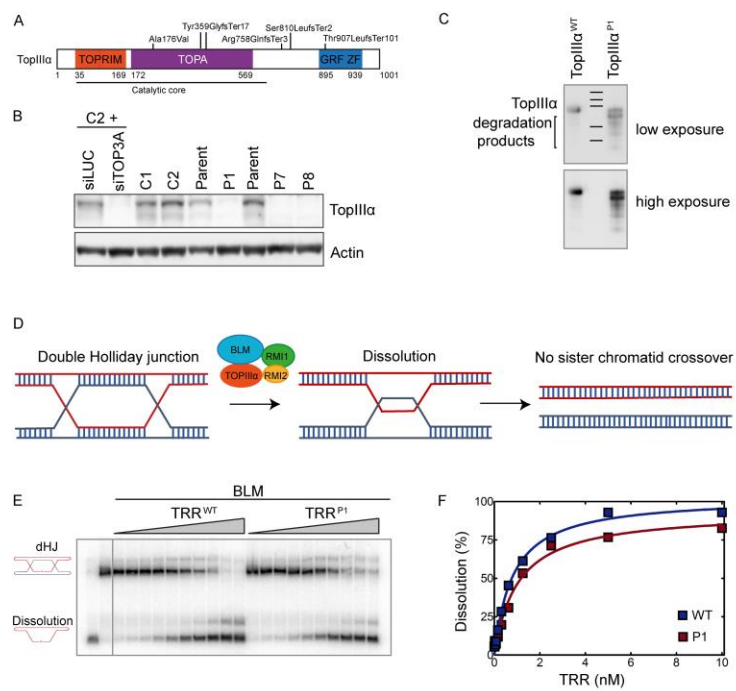
B-C) Elevated numbers of SCEs are evident from BrdU strand specific labelling of sister chromatids from *TOP3A* cells compared to control and parent cells. B) Representative images of P1 and parent fibroblast cell lines. C) Quantification of SCEs in fibroblast cell lines (P1, P5, P7, P8) or PHA-stimulated lymphocytes derived from peripheral blood samples (P2, P3). Median value plotted,  $n > 10$  metaphase spreads counted per subject. Pair-wise non-parametric Mann Whitney tests versus parental controls. F1, F2 and F5 SCEs were scored in independent laboratories.

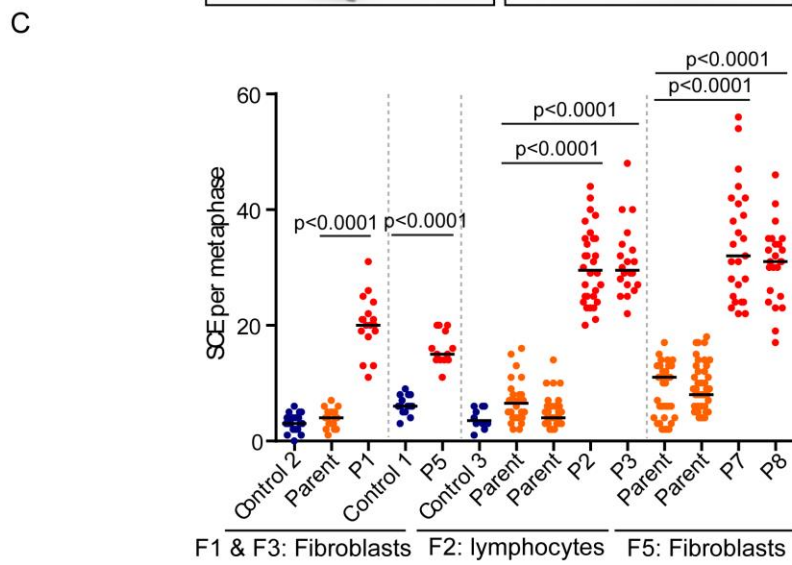
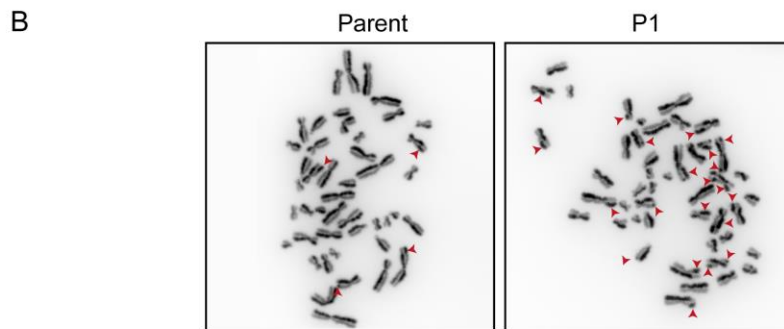
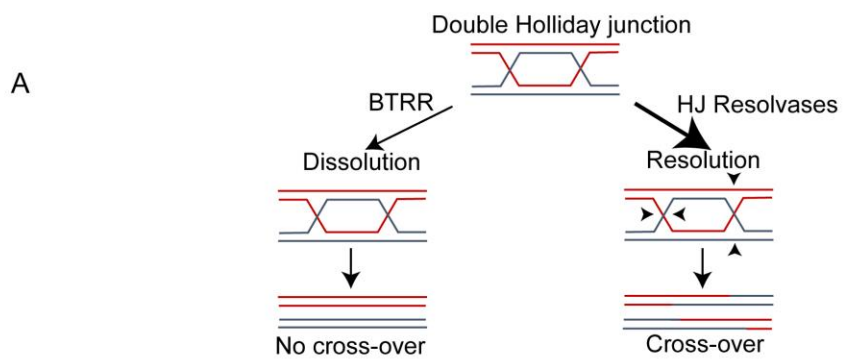
**Figure 4. DNA catenanes persist into mitosis in *TOP3A* subject cells leading to chromosome segregation defects and genome instability**

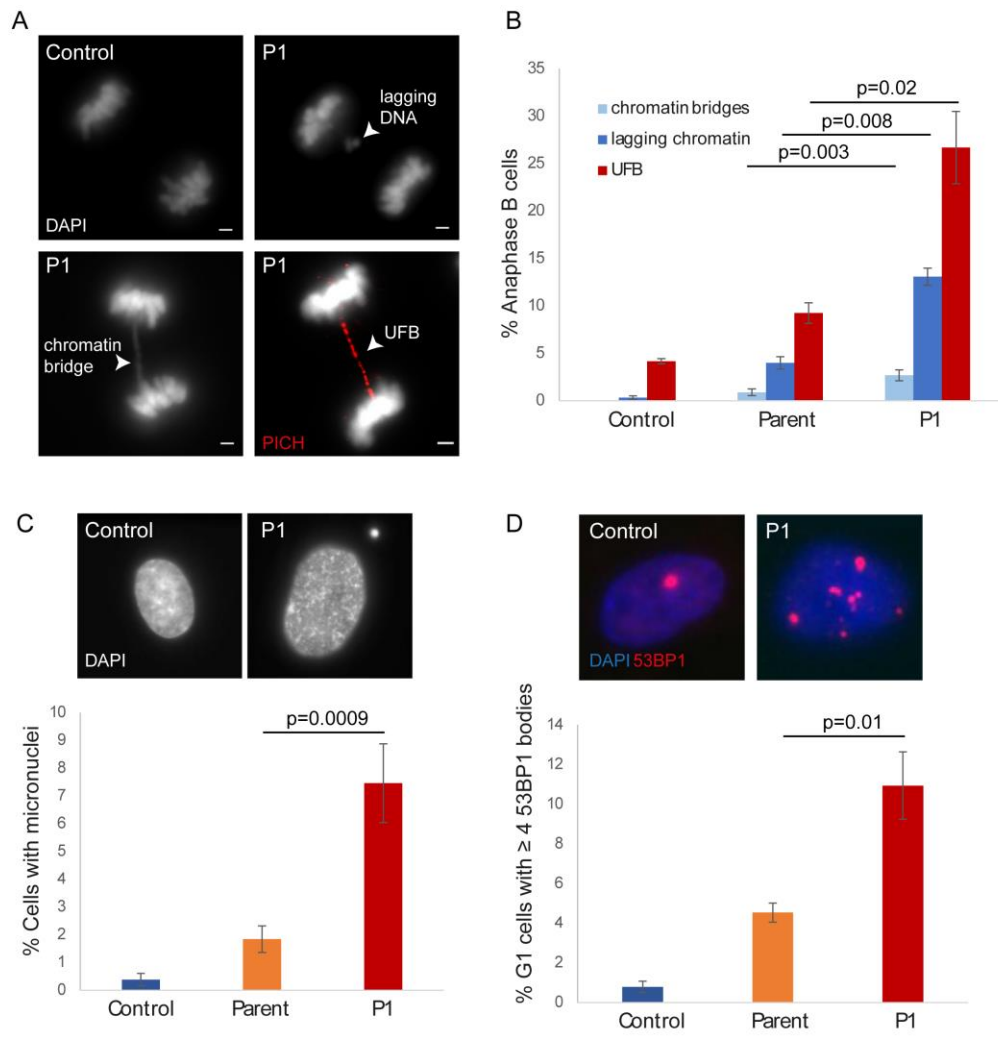
A-B) Chromosome segregation is impaired in *TOP3A* deficient primary fibroblasts. A) Representative images of chromatin bridges, lagging DNA (DAPI) and ultrafine DNA bridges (UFBs) (detected by the presence of PICH and absence of DAPI stain). B) Quantification of chromatin bridges, lagging DNA and PICH positive UFBs scored in Control, parental and P1 fibroblast mitotic cells staged at anaphase B ( $\exp \geq 3$ ,  $n > 50$  cells, error bars = SEM). To enrich for mitotic cells, fibroblasts were treated with R03306 for 6 hrs, released and fixed after 45 min. C) *TOP3A* P1 fibroblast cells display significantly elevated levels of micronuclei. Top panel, representative picture of control and P1 fibroblasts. Bottom panel, Quantification of micronucleus containing interphase cells.  $\exp \geq 3$ ,  $n > 500$ , error bars = SEM. To enrich for G1 cells, R03306 treated cells were released into fresh media for 30 mins, prometaphase cells were collected, re-seeded and fixed after 4-6 hrs. D) *TOP3A* P1 fibroblast cells display significantly elevated numbers of 53BP1 bodies in G1 nuclei. Top panel, representative images of 53BP1 foci (red) and DNA (DAPI). Bottom panel, quantification of cells with  $\geq 4$  53BP1 foci in G1 nuclei (negative for cyclin A) ( $\exp \geq 3$ ,  $n > 500$ , error bars = SEM). Scale bar = 2 microns, Two tailed t-test versus parent.



**A****B**







**Table 1. Variants Identified in *TOP3A* and *RMI1* associated with microcephalic dwarfism**

Family	Individual	Gene	Biallelic Nucleotide Alterations	Predicted Amino Acid Consequence(s)	Sex	Country of origin
1	P1	<i>TOP3A</i>	c.[2718del];[2718del]	p.[Thr907LeufsTer101];[Thr907LeufsTer101]	Female	USA (Czech/Irish ancestry)
2	P2	<i>TOP3A</i>	c.[2271dup];[2271dup]	p.[Arg758GlnfsTer3];[Arg758GlnfsTer3]	Female	United Arab Emirates
2	P3	<i>TOP3A</i>	c.[2271dup];[2271dup]	p.[Arg758GlnfsTer3];[Arg758GlnfsTer3]	Female	United Arab Emirates
2	P4	<i>TOP3A</i>	c.[2271dup];[2271dup]	p.[Arg758GlnfsTer3];[Arg758GlnfsTer3]	Male	United Arab Emirates
3	P5	<i>TOP3A</i>	c.[527C>T];[1072_1073dup] <sup>a</sup>	p.[Ala176Val];[Tyr359GlyfsTer17]	Female	Japan
4	P6	<i>TOP3A</i>	c.[2271dup];[2271dup]	p.[Arg758GlnfsTer3];[Arg758GlnfsTer3]	Female	Syria
5	P7	<i>TOP3A</i>	c.[2428del];[2428del]	p.[Ser810LeufsTer2];[Ser810LeufsTer2]	Female	Spain
5	P8	<i>TOP3A</i>	c.[2428del];[2428del]	p.[Ser810LeufsTer2];[Ser810LeufsTer2]	Male	Spain
6	P9	<i>TOP3A</i>	c.[2771dup]; c.[2771dup]	p. [Arg758GlnfsTer3];[Arg758GlnfsTer3]	Male	Syria
7	P10	<i>TOP3A</i>	c.[2771dup]; c.[2771dup]	p. [Arg758GlnfsTer3];[Arg758GlnfsTer3]	Female	Saudi Arabia
8	P11	<i>RMI1</i>	c.[1255_1259del];[1255_1259del]	p.[Lys419LeufsTer5]; [Lys419LeufsTer5]	Female	Turkey
8	P12	<i>RMI1</i>	c.[1255_1259del];[1255_1259del]	p.[Lys419LeufsTer5] ;[Lys419LeufsTer5]	Female	Turkey
<i>Previously reported case with adult-onset mitochondrial disease</i>						
	MC1 <sup>b</sup>	<i>TOP3A</i>	c.[298A>G];[403C>T]	p.[Met100Val];[Arg135Ter]	Female	UK

<sup>a</sup> close to donor splice site of exon 10. <sup>b</sup> previously reported case in <sup>34</sup>. Nomenclature according to transcript NM\_004618.4, TOP3A; NM\_004618.4 and NM\_024945.2, RMI1.

Table 2. Growth parameters of *TOP3A* and *RMI1* individuals.

Pt	Birth					Postnatal									
	Gene mutated	Gest <sup>n</sup> (weeks)	Weight kg	SD <sup>a</sup>	OFC cm	SD	Length cm	SD	Age at Exam	Weight kg	SD <sup>a</sup>	OFC cm	SD	Height cm	SD
P1	<i>TOP3A</i>	37	1.35	-3.9	27.5	-4.2	38	-5.4	5m	4.9	-2.7	36	-5.7	54.8	-4.4
P2	<i>TOP3A</i>	37	1.9	-2.3	N/A	N/A	N/A	N/A	3y	9.5	-3.7	47.5	-2.3	84.5	-2.8
P3	<i>TOP3A</i>	37	2.2	-1.6	N/A	N/A	N/A	N/A	8 y	16.5	-3.3	49.5	-2.9	112.5	-2.7
P4	<i>TOP3A</i>	40	2.2	-2.9	N/A	N/A	N/A	N/A	10 y	18.1	-4.6	49.5	-3.1	113	-4.1
P5	<i>TOP3A</i>	35	1.91	-1.3	31.8	-0.2	43	-1.8	15 y	20	-6.4	51	-3.1	138	-3.7
P6	<i>TOP3A</i>	N/A	2	N/A	N/A	N/A	N/A	N/A	19 m	5.17	-7.5	44.3	-3.0	64.3	-5.8
P7	<i>TOP3A</i>	38	1.90	-2.8	30.0	-2.7	41	-4.1	3 y 3m	7.21	-7.0	44.2	-5.3	78.7	-4.7
P8	<i>TOP3A</i>	34	1.41	-2.5	28.5	-2.2	42	-2.1	7 y <sup>b</sup>	10	-2.9	45.8	-5.0	98.1	-4.5
P9	<i>TOP3A</i>	40	2	-3.4	N/A	N/A	N/A	N/A	11 y	21.6	-3.5	47.5	-4.5	125.6	-2.7
P10	<i>TOP3A</i>	36	1.6	-2.7	N/A	N/A	N/A	N/A	4 y 7 m	9	-6.1	45.5	-5.0	91	-3.3
P11	<i>RMI1</i>	34	1.59	-1.7	N/A	N/A	N/A	N/A	7 y	14	-3.8	46.5	-5	100	-4
P12	<i>RMI1</i>	38	1.80	-3.1	N/A	N/A	N/A	N/A	13 y	39	-0.9	48	-5	135	-2.9
<i>Previously reported case with Adult onset-mitochondrial disease (ataxia, PEO)</i>															
MC1	<i>TOP3A</i>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	adult	N/A	N/A	N/A	N/A	163	N/A

Gest<sup>n</sup>, Gestational age. <sup>a</sup>Adjusted for gestation; <sup>b</sup> on growth hormone treatment 4 years 3 months until 5years 11 months with no response. Z-score, standard deviations from population mean for age and sex. y, year; m, months.

	<i>TOP3A</i>											<i>RMI1</i>	
Pt	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	MC1 <sup>R1</sup>	P11	P12
Age	5 m	3 y	8 y	10y	15 y	19 m	3 y	7 y	11y	4y 7m	Adult	7 y	13 y
Prenatal onset growth restriction	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	Y	Y
Elevated Sister chromatid exchange	Y	Y	Y	N/A	Y	N/A	Y	Y	Y	N/A	N	N/A	N/A
Café-au-lait macules	Y	Y	Y	Y	N	Y	N	Y	Y	Y	N/A	N	N
Developmental Delay	Mild	N	N	N	N	Mild <sup>e</sup>	N	Mild	N	Mild	N/A	Mild	N
Cancer <sup>a</sup>	N	N	N	N	N	N	N	N	N	N	N/A	N	N
Decreased subcutaneous fat	N	N	N	N	N	N	Y	Y	N	Y	N/A	N	N
Gastroesophageal reflux	Y	N/A	N/A	N/A	N	Y	N	N/A	N	N/A	N/A	N	N
Diabetes mellitus	N	N	N	N	N	N	N	N	N	N	N/A	N	N
Recurrent infections	Y <sup>b</sup>	N/A	N/A	N/A	N	N	N		Y	Y <sup>h</sup>	N/A	N	N
Malar Rash	N	N	N	N	N	N	N	N	N	N	N/A	N	N
Dilated Cardiomyopathy	N	N	Y	Y (severe, deceased)	Y (post heart transplant)	Normal Echo	N/A	Y <sup>c</sup> (asymptomatic)	HCM <sup>f</sup>	N		N/A	N/A
Mitochondrial DNA depletion in muscle	N/A	N/A	N/A	N/A	Y, 87%	N/A	N/A	N/A	N/A	N/A	Y, >80%	N/A	N/A
Other	CDH, Gastrostomy	Abnormal movements/tics		Hearing loss, CMMA <sup>d</sup>					Microcytic anemia <sup>g</sup>		PEO, Ataxia		

**Table 3. Clinical phenotype of *TOP3A* and *RMI1* subjects.**

<sup>a</sup>Note all cases <15y old, in Bloom’s syndrome, neoplasia typically early adulthood. PEO, progressive external ophthalmoplegia. CDH, Congenital dislocation of hip.

<sup>b</sup>Recurrent otitis media and tonsillitis – tonsillectomy. <sup>c</sup>Mild left ventricle dilatation. <sup>d</sup>Combined malonic and methylmalonic aciduria (OMIM#614265) due to *ACSF3* mutations. <sup>e</sup> Expressive speech delay only. <sup>f</sup>HCM, hypertrophic cardiomyopathy. <sup>g</sup>Anemia due to beta-thalassemia trait. <sup>h</sup>Reccurent upper respiratory tract infections/oral thrush.





